

PCTWORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁵ : C12P 7/22, C07C 39/17	A1	(11) International Publication Number: WO 93/09241 (43) International Publication Date: 13 May 1993 (13.05.93)
(21) International Application Number: PCT/US92/09214 (22) International Filing Date: 29 October 1992 (29.10.92) (30) Priority data: 07/785,978 31 October 1991 (31.10.91) US (71) Applicant: BIO-TECHNICAL RESOURCES [US/US]; 1035 South 7th Street, Manitowoc, WI 54220 (US). (72) Inventor: GRUND, Alan, Douglas ; 3213 Lindberg Drive, Manitowoc, WI 54220 (US). (74) Agents: STEVENSON, Robert, B. et al.; E.L. du Pont de Nemours and Company, Legal/Patent Records Center, 1007 Market Street, Wilmington, DE 19898 (US).		(81) Designated States: AU, BB, BG, BR, CA, CS, FI, HU, JP, KP, KR, LK, MG, MN, MW, NO, PL, RO, RU, SD, UA, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, SN, TD, TG). Published <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments.</i>
(54) Title: MICROBIAL PRODUCTION OF CIS-DIHYDRODIOL AND PHENOL DERIVATIVES OF BENZOCYCLOBUTENE (57) Abstract A process for microbial conversion of benzocyclobutene to the corresponding 4,5-dihydrodiol followed by acid catalyzed dehydration to 4-hydroxybenzocyclobutene.		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FR	France	MR	Mauritania
AU	Australia	GA	Gabon	MW	Malawi
BB	Barbados	GB	United Kingdom	NL	Netherlands
BE	Belgium	GN	Guinea	NO	Norway
BF	Burkina Faso	GR	Greece	NZ	New Zealand
BG	Bulgaria	HU	Hungary	PL	Poland
BJ	Benin	IE	Ireland	PT	Portugal
BR	Brazil	IT	Italy	RO	Romania
CA	Canada	JP	Japan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SK	Slovak Republic
CI	Côte d'Ivoire	LI	Liechtenstein	SN	Senegal
CM	Cameroon	LK	Sri Lanka	SU	Soviet Union
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	MC	Monaco	TC	Togo
DE	Germany	MG	Madagascar	UA	Ukraine
DM	Dominica			US	United States of America

-1-

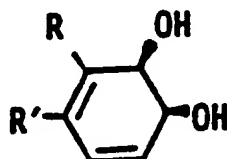
TITLE5 MICROBIAL PRODUCTION OF CIS-DIHYDRODIOL
AND PHENOL DERIVATIVES OF BENZOCYCLOBUTENEBACKGROUND OF THE INVENTION

1. Field of the Invention:

10 The present invention relates to the
bioconversion of benzocyclobutene (BCB) to the
4,5-cis-dihydrodiol compound and the subsequent
acid-catalyzed dehydration to form the
4-hydroxybenzocyclobutene compound. These novel
compounds have utility as intermediates for the
15 production of polymers.

2. Description of the Related Art:

Formation of cis-dihydrodiols from various
aromatic hydrocarbons by bacteria has been described
by D. T. Gibson et al., Biochemistry, vol. 9, No. 7,
20 1973, p. 1626⁺ and p. 1631⁺ and vol. 12, No.8, 1973,
p. 1520⁺. A cis-dihydrodiol intermediate has been
found to be a common metabolite in the bacterial
degradation of a variety of aromatic hydrocarbons,
including benzene, toluene, naphthalene, biphenyl,
25 ethylbenzene, benzoic acid, phthalic acid, anthracene
and phenanthrene. U.S. Patent No. 4,508,822 discloses
the preparation of dihydrodiols of the general
formula:



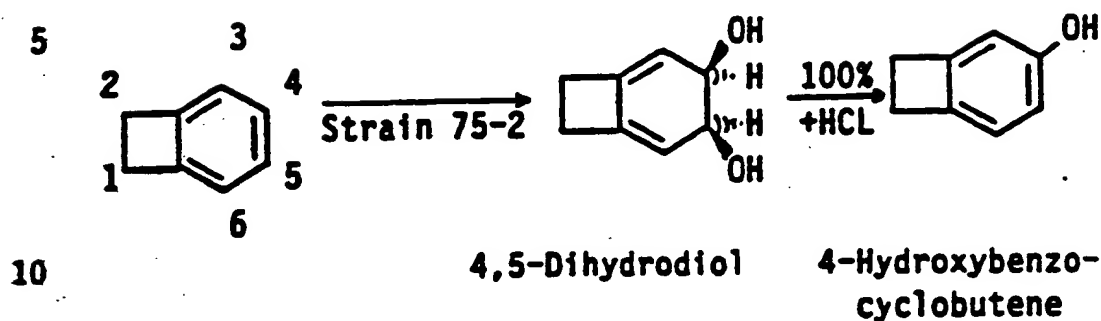
where R and R¹ are substituents which may be the same or different, such as halogen, alkyl, and the like. Generally such dihydrodiols are of the 2,3-dihydrodiol configuration. That is, the hydroxy groups are introduced directly adjacent to the ring substituent R. The only known exception to this general rule is the 4,5-dihydrodiol formed by some bacteria in the degradation of phthalic acid.

U.S. Patent 4,520,103 describes the formation of the 2,3-dihydrodiol of indole as an intermediate in the production of indigo.

SUMMARY OF THE INVENTION

The present invention relates to the formation of a dihydrodiol resulting from bacterial bioconversion of the aromatic hydrocarbon benzocyclobutene. Mutant strains of Rhodococcus organisms capable of converting benzocyclobutene to the 4,5-dihydrodiol have been developed. The growth of the mutant strain in the presence of benzocyclobutene results in the production of the 4,5-dihydrodiol intermediate of benzocyclobutene. Acid-catalyzed dehydration of the 4,5-dihydrodiol compound results in formation of 4-hydroxybenzocyclobutene. The corresponding sequential reactions are outlined below.

-3-

4-HydroxybenzocyclobuteneDETAILED DESCRIPTION OF THE INVENTION

Organisms capable of growth on a variety of aromatic hydrocarbons such as benzene, toluene, ethylbenzene and o-xylene were isolated from the environment by selective culture. Certain of the resulting isolates were found to partially metabolize benzocyclobutene to a mixture of dead-end metabolites, but were not able to grow on benzocyclobutene. Mutants lacking a functional diol dehydrogenase were obtained by mutagenesis with N-methyl-N-nitro-N-nitrosoguanidine, followed by ampicillin/cycloserine enrichment for mutants unable to grow on toluene. Diol dehydrogenase deficient mutants were identified by the accumulation of dihydrodiols upon exposure to various aromatic hydrocarbons.

The Mutant, 75-2, derived from a Rhodococcus isolate 75 WT, converts benzocyclobutene to the corresponding 4,5-dihydrodiol compound. The dihydrodiol at a concentration of two hundred to four thousand parts per million in aqueous solution is dehydrated by addition of a mineral acid such as hydrochloric acid or sulfuric acid to a concentration

-4-

of 0.1 N to 8 N, preferably 1.0 to 5N at a temperature of 20° to 50°C for 15 minutes to 20 hours, preferably 1 to 10 hours. The resulting phenols can be recovered such as by extraction with water immiscible, polar organic solvents, such as ethyl acetate, methyl ethyl ketone, or the like. Generally over 95% of the recovered phenols are 4-hydroxybenzocyclobutene, with the balance 3-hydroxybenzocyclobutene. The 3-hydroxybenzocyclobutene results from a low level of hydroxyl migration during the dehydration reaction.

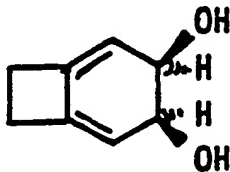
Example

Rhodoccus strain 75-2 American Type Culture Collection (ATCC) 55201 is grown in baffled 125 ml Erlenmeyer flasks on a minimal salts medium with succinate at 1.0 wt % . Benzocyclobutene is supplied as a vapor to the culture. After 24 hours incubation on a rotary shaker at 150 rpm and 30°C, the culture is acidified with HCl to a concentration of 1.0 N, and held at room temperature for 4 hours. The broth was then extracted with an equal volume of ethyl acetate, and analyzed for phenols by gas chromatography. The 4-Hydroxybenzocyclobutene was present at 235 ppm, the 3-hydroxybenzocyclobutene at 7 ppm.

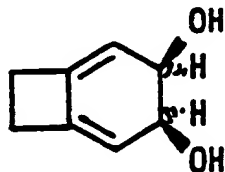
-5-
CLAIMS

1. 4-Hydroxybenzocyclobutene.

5 2. A dihydrodiol of the formula



3. A process for production of a dihydrodiol compound of the formula



20 comprising growing a mutant strain of Rhodococcus in a growth medium at 25° to 35°C and at a pH in the range of 6 to 8, in the presence of oxygen or an oxygen containing gas wherein benzocyclobutene is supplied to the growing mutant strain.

25

4. The process of claim 3 wherein the mutant strain is a strain of Rhodococcus WT.

30

5. The process of claim 4 wherein the dihydrodiol compound is treated at 20° to 50°C with an aqueous acid solution containing 0.1 to 8 N mineral acid for 15 minutes to 20 hours to form 4-hydroxybenzocyclobutene.

35

-6-

6. The process of claim 5 wherein the 4-hydroxybenzocyclobutene is extracted from the acidified solution with a water immiscible, polar organic solvent.

5

7. The process of claim 6 wherein the strain is Rhodococcus ATCC 55201.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US 92/09214

A. CLASSIFICATION OF SUBJECT MATTER

IPC5: C12P 7/22, C07C 39/17

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC5: C12P, C07C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

CA, BIOSIS

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	Chemical Society Journal. Perkin transactions I, Volume 8, 1980, Omar Abou-Teim et al., "Benzocyclobutenes. Part 5.1 Synthesis of 4-Hydroxy-, 4,5-Dihydroxy-, and 3,6-Dihydroxy-benzocyclobutene-1,2-dione (Benzologues of Semisquaric and Squaric Acid)", page 1841 - page 1846, see example 3, p 1841	1-7
A	J.Org.Chem., Volume 47, No 20, 1982, Michael S. South et al., "Practical Multigram Syntheses of Benzocyclobutenediones", page 3816 - page 3821, see p. 3816	1-7

☒ Further documents are listed in the continuation of Box C.☒ See patent family annex.

<p>* Special categories of cited documents</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"B" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p>	<p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"Z" document member of the same patent family</p>
---	---

Date of the actual completion of the international search

Date of mailing of the international search report

22 February 1993

15 MAR 1993

Name and mailing address of the ISA/

Authorized officer

2

INTERNATIONAL SEARCH REPORT

International application N .
PCT/US 92/09214

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP, A2, 0158424 (DIRECTOR-GENERAL OF THE AGENCY OF INDUSTRIAL SCIENCE AND TECHNOLOGY), 16 October 1985 (16.10.85) <div style="text-align: center;">— ——</div>	3-7

INTERNATIONAL SEARCH REPORT
Information on patent family members

29/01/93

International application No.

PCT/US 92/09214

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP-A2- 0158424	16/10/85	JP-C- 1435684	25/04/88
		JP-A- 60210991	23/10/85
		JP-B- 62046157	30/09/87
		US-A- 4824780	25/04/89
		JP-C- 1435685	25/04/88
		JP-A- 60210992	23/10/85
		JP-B- 62046158	30/09/87

